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6-25-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Bock, et al.
Appl. No.: 09/913,987
Conf. No.: 8341
Filed: August 20, 2001
Title: NETWORK NODES WITH OPTICAL ADD/DROP MODULES
Art Unit: 2633
Examiner: Sharon Brooks
Docket No.: 112740-261

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL UNDER 37 CFR 1.8

Sir:

I hereby certify that the following documents relating to the above-identified application:

1. Transmittal of Information Disclosure Statement (duplicate);
2. Information Disclosure Statement (2 pgs.);
3. PTO Form 1449;
4. Eight references; and
5. Return receipt postcard.

are being deposited with the United States Postal Service with sufficient postage as First

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on June 6, 2003.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Robert J. Buccieri

Name of Person Mailing Correspondence

Signature

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112740-261

In Re Application Of: **Bock et al.**

Serial No.
09/913,987

Filing Date
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Group Art Unit
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Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112740-261

In Re Application: **Bock et al.**

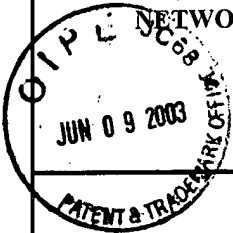
Serial No.
09/913,987

Filing Date
August 20, 2001

Examiner
Sharon Brooks

Group Art Unit
2633

NETWORK NODES WITH OPTICAL ADD/DROP MODULES



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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*This certificate may only be used if paying by deposit account.

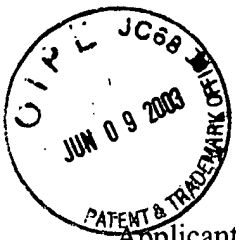

Signature

Thomas C. Basso
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Phone: (312) 807-4310

Dated: June 6, 2003

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,748,349	05-05-98	Mizrahi

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
EP 0729247	08-28-96	Europe
EP 0847158	06-10-98	Europe
EP 0892524	01-20-99	Europe
DE 19731494	02-18-99	Germany
EP 0907266	04-07-99	Europe
EP 0920153	06-02-99	Europe

OTHER DOCUMENTS

Al-Salameh et. al., *Optical Networking*, Bell Labs Technical Journal, Vol. 3, No. 1, January-March 1998, pp. 39-61.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY


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Phone: (312) 807-4310

Dated: June 6, 2003

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No. 112740-261	Application No. 09/913,987
Applicant Bock et al.	
Filing Date 08-20-01	Group 2633

U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,748,349	05-05-98	Mizrahi			

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						Yes	No
	EP 0729247	08-28-96	Europe				
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	EP 0892524	01-20-99	Europe				
	DE 19731494	02-18-99	Germany				
	EP 0907266	04-07-99	Europe				
	EP 0920153	06-02-99	Europe				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner's Initials	
	Al-Salameh et. al., <i>Optical Networking</i> , Bell Labs Technical Journal, Vol. 3, No. 1, January-March 1998, pp. 39-61.

Examiner:	Date Considered:
<p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	